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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/076,967	
	<b>Filing Date</b>	February 15, 2002	
	<b>First Named Inventor</b>	William E. RICH et al.	
	<b>Group Art Unit</b>	1645	
	<b>Examiner Name</b>	To Be Assigned	
<b>Total Number of Pages in This Submission</b>	4	<b>Attorney Docket Number</b>	016866-005710US

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ENCLOSURES (check all that apply)		
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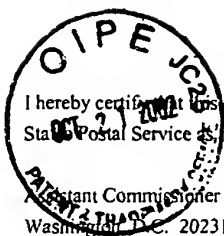
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm and Individual name</b>	Townsend and Townsend and Crew LLP Annette S. Parent Reg. No. 42,058
<b>Signature</b>	
<b>Date</b>	October 16, 2002

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<b>Typed or printed name</b>	Amanda J. Gorthy
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<b>Date</b>	October 16, 2002

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PATENT  
Attorney Docket No.: 016866-005710US

On OCTOBER 16, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: *Manda J. Goff*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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#7/I.D.S.

In re application of:

William E. RICH and T. William  
HUTCHENS

Application No.: 10/076,967

Filed: February 14, 2002

For: METHOD FOR CORRELATING  
GENE EXPRESSION PROFILES  
☐ WITH PROTEIN EXPRESSION  
PROFILES  
☐

Examiner: To Be Assigned

Art Unit: 1645

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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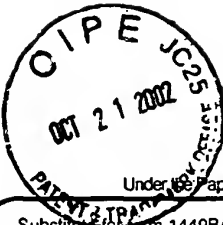
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Respectfully submitted,

A handwritten signature in black ink, appearing to read "Annette S. Parent". The signature is fluid and cursive, with the first name "Annette" being more prominent.

Annette S. Parent  
Reg. No. 42,058

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 415-576-0200  
Fax: 415-576-0300  
ASP:ajg  
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Substitute for Form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	10/076,967
Filing Date	February 14, 2002
First Named Inventor	William E. RICH et al.
Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket Number	016866-005710US

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### U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	1	WO	WO 00/22168	A1	04-20-2000			<input type="checkbox"/>
	2	WO	WO 01/57530	A1	08-09-2001			<input type="checkbox"/>

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	3	BORMAN, S. "Genomics rises to drug discovery challenge," <i>C&amp;EN</i> April 19, 1999, pp. 59-68.	
	4	CHAKRAVARTI, D.N. "Application of genomics and proteomics for identification of bacterial gene products as potential vaccine candidates," <i>Vaccine</i> 2001, pp. 601-612, Vol. 19.	
	5	HANCOCK, W. et al. "Report: Integrated genomic/proteomic analysis," <i>Analytical Chemistry News &amp; Features</i> November 1, 1999, pp. 742A-748A.	
	6	NELSON, P.S. et al. "Comprehensive analyses of prostate gene expression: Convergence of expressed sequence tag databases, transcript profiling and proteomics," <i>Electrophoresis</i> 2000, pp. 1823-1831, Vol. 21.	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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